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January 21, 2004
Date

Carrie Reddick
Signature: Carrie Reddick

PATENT

Attorney Docket No. ULT-005-2C2P

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | | |
|------------------------------------|---|----------------------------|
| In re Application |) | <u>PATENT APPLICATION</u> |
| |) | |
| Inventor(s): Malcolm G. Smith, Sr. |) | |
| |) | Art Unit: 2876 |
| Application No.: 09/663,659 |) | |
| |) | Examiner: Nowlin, April A. |
| Filed: 9/15/2000 |) | |
| |) | |
| Title DATA STORAGE CARD HAVING A |) | |
| NON-MAGNETIC SUBSTRATE AND DATA |) | |
| SURFACE REGION AND METHOD FOR |) | |
| USING SAME |) | |

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Mail Stop Petition
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Listed below or on an attached Form PTO-1449 is information known to applicant(s). A copy of each listed document is being submitted herewith, along with a concise explanation of information in a foreign language, if any, pursuant to 37 C.F.R. §1.97-1.98.

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. If form PTO-1449 is enclosed, the Examiner is requested to initial and return it in accordance with MPEP § 609.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56.

01/28/2004 SSANDARA 00000023 09663659

01 FC:2453 665.00 DA
02 FC:1806 180.00 DA

- ☐ This statement qualifies under 37 C.F.R. § 1.97, subsection (b) because (check all that apply):
- ☐ (1) It is being filed within 3 months of the application filing date and is other than a continued prosecution application under § 1.53(d)
-- OR --
 - ☐ (2) It is being filed within 3 months of entry of a national stage
-- OR --
 - ☐ (3) It is being filed before the mail date of the first Office Action on the merits.
-- OR --
 - ☐ (4) It is being filed before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114
- ☒ 37 C.F.R. § 1.97(c). If this statement is being filed after the period specified in § 1.97(b), but before the mailing date of the earlier of a final office action under § 1.113, a notice of allowance under § 1.311, or an action that otherwise closes prosecution in the application, then:
- ☐ a certification as specified in § 1.97(e) is provided below; **or**
 - ☒ a fee of \$180.00 as set forth in § 1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.
- ☐ 37 C.F.R. § 1.97(d). If this statement is being filed after the period specified in § 1.97(c), but on or before payment of the issue fee, then:
- A. a certification as specified in § 1.97(e) is completed below; **and**
 - B. a fee of \$180.00 as set forth in § 1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.
- ☒ *Fee Authorization.* The Commissioner is hereby authorized to charge the above-referenced fees of \$180 and charge any additional fees or credit any overpayment associated with this communication to Deposit Account No. 50-0574 (Docket No. ULT-005-2C2P).

Respectfully submitted,

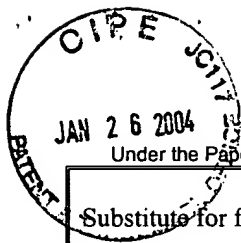
BEVER, HOFFMAN & HARMS, LLP

By: 

James E. Parsons, Reg. No. 34,691

Dated: January 21, 2004

Telephone: (408) 451-5906
Customer No. 22888



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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT***(Use as many sheets as necessary)*

Sheet 1 of 14 Attorney Docket Number ULT-005-2C2P

U.S. PATENT DOCUMENTS

| Examiner Initials* | Cited No. ¹ | Document Number Number - Kind Code ^{2(f) known} | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines Where Relevant Passages or Figures Appear |
|--------------------|------------------------|---|--------------------------------|--|---|
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| Gooch et al. "A High Resolution Flying Magnetic Disk Recording System With Zero Reproduce Spacing Loss" Pages 4545-4554, IEEE Transactions on Magnetis, Volume 27, No. 6, November 1991 |
| One (1) Page Advertising Brochure, SysTECH, Wallet Size CDROM, Copyright 1999 |
| Three (3) Pages / Gold CD Mini ROM (Picture), Back/Front Packaging for CD Mini ROM AOL, America On Line |

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Malcolm G. Smith, Sr.

Examiner: Nowlin, April A.

GROUP: 2876

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(Use as many sheets as necessary)

Sheet

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First Named Inventor:

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Examiner: Nowlin, April A.

GROUP: 2876

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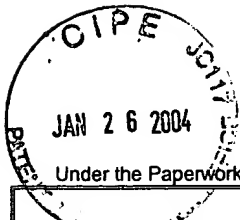
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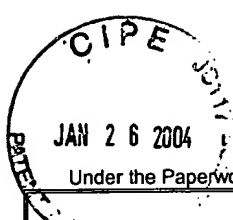
| Examiner Initials* | Cite No. ¹ | Foreign Patent Document Cntry Code ³ Number ⁴ Kind Code ⁵ (if known) | Publication Date MM-DD- YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines Where Relevant Passages or Figures Appear | T ⁶ |
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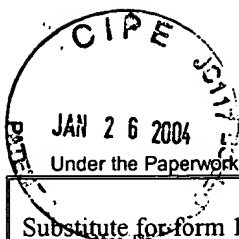
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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Application Number 09/663,659

Filing Date 9/15/2000

First Named Inventor: Malcolm G. Smith, Sr.

Examiner: Nowlin, April A. GROUP: 2876

Sheet

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of

14

Attorney Docket Number

ULT-005-2C2P

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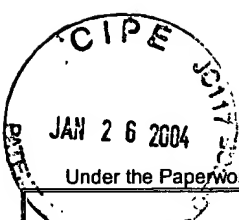
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Application Number 09/663,659

Filing Date 9/15/2000

First Named Inventor: Malcolm G. Smith, Sr.

Examiner: Nowlin, April A. GROUP: 2876

Sheet 7 of 14 Attorney Docket Number ULT-005-2C2P

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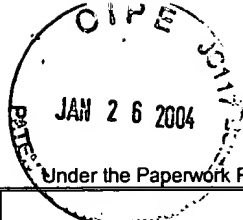
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| | | | | Filing Date | 9/15/2000 |
| | | | | First Named Inventor: | Malcolm G. Smith, Sr. |
| | | | | Examiner: Nowlin, April A. | GROUP: 2876 |
| Sheet | 8 | of | 14 | Attorney Docket Number | ULT-005-2C2P |

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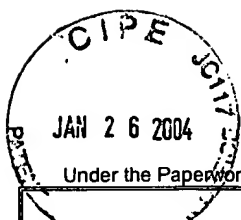
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| Application Number | 09/663,659 |
| Filing Date | 9/15/2000 |
| First Named Inventor: | Malcolm G. Smith, Sr. |
| Examiner: Nowlin, April A. | GROUP: 2876 |

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| Sheet | 9 | of | 14 | Attorney Docket Number | ULT-005-2C2P |
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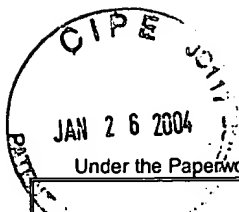
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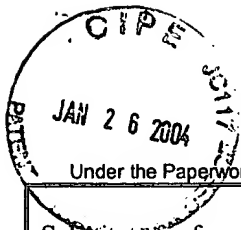
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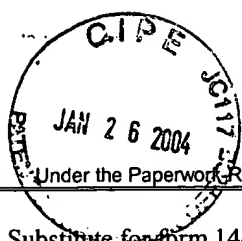
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of

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Application Number

09/663,659

Filing Date

9/15/2000

First Named Inventor:

Malcolm G. Smith, Sr.

Examiner: Nowlin, April A.

GROUP: 2876

Attorney Docket Number

ULT-005-2C2P

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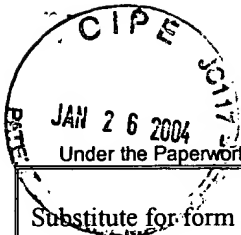
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Examiner: Nowlin, April A. GROUP: 2876

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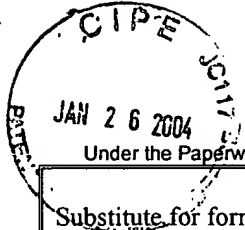
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